



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

MAYER et al.

Examiner:

Unassigned

Serial No.:

10/808,200

Group Art Unit:

2661

Filed:

3/24/2004

Docket No.:

NOKM.090PA

Title:

SYSTEM AND METHOD FOR ENFORCING POLICIES DIRECTED TO

SESSION-MODE MESSAGING

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on Towards 2004.

Tracey M. Dotter

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted either within three months of the filing date or before the first Office Action of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), and the 05 August 2003 Official Gazette Notice, only a copy of each foreign document or non-U.S. patent/application listed on the enclosed Form 1449 is provided.

Please note that any notations or markings on the attached documents do not reflect particular relevance, or lack thereof, to the present application, nor were they necessarily made by anyone affiliated with the prosecution of the present application.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

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Suite 390

St. Paul, MN 55120

651/686-6633

Date: 1001, 2004

By:

Steven R. Funk

Reg. No. 37,830

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FORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

| Docket Number:         | Application Number: |
|------------------------|---------------------|
| NOKM.090PA             | 10/808,200          |
| Applicant: Mayer et al |                     |

Filing Date: 3/24/2004

Group Art Unit: 2661

|                           |   |   |  | U.S. PATENT DOCUMENTS   | s                  |                     |                               |          |  |
|---------------------------|---|---|--|---|--------------------|---------------------|-------------------------------|----------|--|
| EXAMINER DOCUMENT INITIAL |   | NO. DATE  |  | NAME  | CLASS              | SUBCLASS            | FILING DATE<br>IF APPROPRIATE |          |  |
| -                         |   |   |  |   |                    |                     |                               |          |  |
|                           |   |   |  |   |                    |                     |                               |          |  |
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|                           |   |   | F(   | DREIGN PATENT DOCUME  | NTS                |                     |                               |          |  |
|                           | DOCUMENT NO.  |   |  | COUNTRY   | CLASS              | SUBCLASS            | TRANSLATION                   |          |  |
|                           |   |   |  |   |                    |                     | YES                           | NO       |  |
|                           |   |   |  |   |                    |                     |                               |          |  |
|                           |   |   |  |   |                    |                     |                               |          |  |
|                           |   | OTHE  | R DOCUMENTS  | I (Including Author, Title, Da  | te, Pertinent Page | s, Etc.)            |                               | <u> </u> |  |
| January 2003              |   | Marshall, "Private Session Initiation Protocol (SIP) Extensions for Media Authorization", January 2003. |  |   |                    |                     |                               |          |  |
|                           | June 30, 2003   | Campbell et al., "Instant Message Sessions in SIMPLE", June 30, 2003.                                   |  |   |                    |                     |                               |          |  |
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| June 2002 Ros             |   | Rosenb  | Rosenberg et al., "SIP: Session Initiation Protocol", June 2002.   |   |                    |                     |                               |          |  |
|                           |   |   | 3 <sup>rd</sup> Generation Partnership Project, "Policy control over Gq interface", Release 6, 3GPP TS 29.209 0.0.1, August                        |   |                    |                     |                               |          |  |
|                           | December 2003   | 2003.   | eration Partners   | hip Project, "Network archited  | cture", Release 6, | 3GPP TS 23.002 V    | 6.3.0, Decembe                | er 2003. |  |
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| TS 23                     |   | TS 23.2   | 3rd Generation Partnership Project, "End-to-end Quality of Service (QoS) concept and architecture", Release 6, 3GP TS 23.207 V6.1.1, January 2004. |   |                    |                     |                               |          |  |
|                           |   |   |  | Multimedia Subsystem (IMS)", Release 6, 3GPP TS 23.228 V6.2.0, June 200 |                    |                     |                               |          |  |
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|                           | December 2002   |   | ,  | n Initiation Protocol (SIP) Ex  | tension for Instan | t Messaging", Decer | mber 2002.                    |          |  |
|                           | December 2003   | 3 <sup>rd</sup> Gen   | eration Partners   | hip Project, "Policy control or   | ver Go interface", | Release 6, 3GPP T   | S 29.207 V5.6.                | 0, Decem |  |

| EXAMINER  | DATE CONSIDERED |  |  |  |  |  |
|---|-----------------|--|--|--|--|--|
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |  |  |  |  |  |